

**Subject: Procedure for verification of Captive Generating Plant (CGP) status in accordance with the requirements of Rule 3 of the Electricity Rules, 2005 read with Punjab State Electricity Regulatory Commission (Harnessing of Captive Power Generation) Regulations, 2022”.**

## **Approved Procedure**

### **1. Preamble:**

- (i) Section 42(2) of the Electricity Act 2003 exempts from payment of cross subsidy surcharge in case open access is provided to a person who has established a captive generating plant for carrying the electricity to the destination of his own use.
- (ii) The Central Government in exercise of powers conferred under Section 176 of the Electricity Act, 2003 (Act 36 of 2003) has made rules called ‘The Electricity Rules, 2005’ issued vide Notification No. G.S.R 379(E) dated 8.6.2005. The Rule 3 of the Electricity Rules, 2005 lays down the requirements of a Captive Generating Plant. In order that a generating plant is to be qualified as a Captive Generating Plant, such plant has to fulfil the requirements laid down in Rule 3 of the Electricity Rules of 2005, as amended from time to time. Failure to fulfil the conditions would render the CGP to be treated as an ordinary generating plant and the captive users who have consumed energy from such plant shall have to pay cross subsidy surcharge and other charges as determined by the Commission from time to time.
- (iii) The present procedure is applicable to all power plants functioning/ seeking status as Captive Generating Plant in accordance with Rule 3 of the Electricity Rules, 2005 read with Punjab State Electricity Regulatory Commission (Harnessing of Captive Power Generation) Regulations, 2022.

### **2. Applicability**

The present procedure is applicable to all power plants located either in the State of Punjab or outside the State functioning/seeking status as Captive Generating Plant and having one or more captive users in the State of Punjab.

Provided that in case a captive generating plant is located in one State and its

captive users are located in other State(s), the authority to verify the captive status as per decision of the Ministry of Power, Government of India shall be Central Electricity Authority as conveyed vide letter no. 29/04/2022-R&R dated 11.04.2023.

The procedure for verification of the data for establishing captive status of a generating plant shall be applicable from the financial year 2023-24.

### **3. Statutory provisions:**

3.1 The provisions in the Electricity Act, 2003 that relate to Captive Generation and the definitions of generating company, generating station are reproduced below for ready reference and understanding:

Section 2(8):

*“Captive generating plant” means a power plant set up by any person to generate electricity primarily for his own use and includes a power plant set up by any cooperative society or association of persons for generating electricity primarily for use of members of such co-operative society or association;” Section 2 (28):*

*“Generating company” means any company or body corporate or association or body of individuals, whether incorporated or not, or artificial juridical person, which owns or operates or maintains a generating station;”*

Section 2 (30):

*“Generating station” or “station” means any station for generating electricity, including any building and plant with step-up transformer, switchgear, switch-yard, cables or other appurtenant equipment, if any, used for that purpose and the site thereof; a site intended to be used for a generating station, and any building used for housing the operating staff of a generating station, and where electricity is generated by waterpower, includes penstocks, head and tail works, main and regulating reservoirs, dams and other hydraulic works, but does not in any case include any sub-station.”*

Section 9: Captive Generation-

*“(1) Notwithstanding anything contained in this Act, a person may construct, maintain or operate captive generating plant and dedicated transmission lines:*

*Provided that the supply of electricity from the captive generating plant through the grid shall be regulated in the same manner as the generating station of a generating company:*

*Provided further that no license shall be required under this Act for supply of electricity generated from a captive generating plant to any licensee in accordance with the provisions of this Act and the rules and regulations made there under and to any consumer subject to the regulations made under sub-section (2) of Section 42.*

*(2) Every person, who has constructed a captive generating plant and maintains and operates such plant, shall have the right to open access for the purposes of carrying electricity from his captive generating plant to the destination of his use:*

*Provided that such open access shall be subject to availability of adequate transmission facility and such availability of transmission facility shall be determined by the Central Transmission Utility or the State Transmission Utility, as the case may be:*

*Provided further that any dispute regarding the availability of transmission facility shall be adjudicated upon by the Appropriate Commission.”*

3.2 The Rule 3 of the Electricity Rules, 2005 lays down the following criteria for a Captive Generating Plant:

*“3. Requirements of Captive Generating Plant. (1) No power plant shall qualify as a captive generating plant’ under section 9 read with clause (8) of section 2 of the Act unless in case of a power plant*

*(i) not less than twenty-six percent of the ownership is held by the captive user(s), and*

*(ii) not less than fifty-one percent of the aggregate electricity generated in such plant, determined on an annual basis, is consumed for the captive use:*

*Provided that in case of power plant set up by registered cooperative society, the conditions mentioned under paragraphs at (i) and (ii) above shall be satisfied collectively by the members of the cooperative society;*

*Provided further that in case of association of persons, the captive user(s) shall hold not less than twenty-six percent of the ownership of the plant in aggregate and such captive user(s) shall consume not less than fifty-one percent of the electricity generated, determined on an annual basis, in proportion to their shares in ownership of the power plant within a variation not exceeding ten percent.*

*(b) in case of a generating station owned by a company formed as special purpose vehicle for such generating station, a unit or units of such generating station identified for captive use and not the entire generating station satisfy (ies) the conditions contained in paragraphs (i) and (ii) of sub-clause (a) above including –*

**Explanation:**

- (1) The electricity required to be consumed by captive users shall be determined with reference to such generating unit or units in aggregate identified for captive use and not with reference to generating station as a whole; and*
- (2) The equity shares to be held by the captive user(s) in the generating station shall not be less than twenty-six per cent of the proportionate of the equity of the company related to the generating unit or units identified as the captive generating plant.*

**Illustration:**

*In a generating station with two units of 50 MW each namely Units A and B, one unit of 50 MW namely Unit A may be identified as the Captive Generating Plant. The captive users shall hold not less than thirteen percent of the equity shares in the company (being the twenty-six percent proportionate to Unit A of 50 MW) and not less than fifty-one percent of the electricity generated in Unit A determined on an annual basis is to be consumed by the captive users.*

*(2) It shall be the obligation of the captive users to ensure that the consumption by the Captive Users at the percentages mentioned in sub-clauses (a) and (b) of sub-rule (1) above is maintained and in case the minimum percentage of captive use is not complied with in any year, the entire electricity generated shall be treated as if it is a supply of electricity by a generating company.*

**Explanation:**

- (1) For the purpose of this rule.*
  - a. "Annual Basis" shall be determined based on a financial year;*
  - b. "Captive User" shall mean the end user of the electricity generated in a Captive Generating Plant and the term "Captive Use" shall be construed accordingly;*
  - c. "Ownership" in relation to a generating station or power plant set up by a company or any other body corporate shall mean the equity share capital with voting rights. In other cases, ownership shall mean*

*proprietary interest and control over the generating station or power plant;*

*d. "Special Purpose Vehicle" shall mean a legal entity owning, operating and maintaining a generating station and with no other business or activity to be engaged in by the legal entity."*

**4. Documents to be furnished at the time of seeking approval for Captive wheeling/ Open Access:**

- (i) All intended captive users including any new captive user due to change in ownership, who require approval for open access i.e wheeling of energy generated from their captive generating plants to their destination of use shall furnish the documents as listed in PSERC (Terms & Conditions for Intra-state Open Access) Regulations, 2011, as amended from time to time read with procedure for Intra-State Open Access approved by the Commission.
- (ii) In case a co-located CGP/User intends to avail banking without seeking open access then the generator/user shall fulfill the terms and conditions as prescribed in the procedure for banking approved by the Commission.
- (iii) Certificate from duly authorized Chartered Accountant or Cost and Management Accountant or Company Secretary (Practicing or Appointed), as applicable, providing details of the ownership of the CGP with shareholding details as on the date of the application.

**5. Procedure for verification of Captive Generating Plant status:**

A generating plant desirous of being declared as a Captive Generating Plant (CGP) is required to meet the provisions of the Electricity Act, 2003 and the Rule 3 of the Electricity Rules, 2005. To determine the captive status of a generating plant, the following procedure to verify compliance of conditions under Rule 3 of the Electricity Rules, 2005 shall be followed:

**5.1 Verification of Consumption criteria**

- (a) Verification of criteria of consumption shall be based on the net electricity generated from the generating unit(s) in a generating station, i.e gross electricity generated less auxiliary consumption, identified for captive use. In case of RE based CGP availing banking facility, the

banking charges in kind shall also be deducted from the gross electricity generated.

- b) In the absence of measured data on auxiliary consumption, until metering as prescribed is completed, the normative auxiliary consumption and the losses specified in the Regulations of the Commission may be considered for the purpose of CGP verification status.
- c) The net electricity shall be determined on annual basis at the end of the financial year based on the information submitted on affidavit by CGP and the captive user(s).
- d) For determination of 'annual basis' for the first year of declaring the plant under captive status, the date of grant of open access shall be considered as a start date for the financial year for counting the generation of the plant under captive status. From the subsequent year, total generation from 1st April to 31st March of a financial year shall be considered for determining the captive status of the generation station.
- e) Applicable T&D losses shall be grossed up on adjusted units.
- f) Verification criteria for various types of captive users shall be as follows:

<b>Sr. No</b>	<b>Type of captive user</b>	<b>Criteria</b>
i	Single captive user	The self-consumption shall not be less than 51% of the net electricity generated on an annual basis
ii	Partnership firm/ Limited Liability Partnership (LLP)	The self-consumption shall not be less than 51% of the net electricity generated on an annual basis
iii	Association of Persons (AoP)	The captive users shall consume not less than 51% of the net electricity generated on annual basis for captive use in proportion to their share in the power plant within the variation not exceeding 10% i.e. proportionality test shall be calculated for 51% of aggregate generation and not for consumption beyond 51% by captive consumers.

iv	Cooperative Society	Members of Society shall collectively consume not less than 51% of the net electricity generated on annual basis
v	Captive use in respect of Special Purpose Vehicle (SPV)	The captive user(s) shall consume not less than 51% of the net electricity generated on annual basis from the identified unit(s).

d) **Manner of assessment of data related to generation from CGP and consumption by captive user:**

Sr. No.	Location	Method of assessment
i.	CGP and its captive user(s) are co-located	The net generation from the CGP and consumption by the captive user shall be based on the reading of the meter installed for recording the generation at the generation side ("generation meter") and the electricity sourced at the consumption side("consumption meter").
ii.	CGP and its captive users are located within the State (but not co-located),	Based on actual generation from the CGP as per the data provided by the respective SLDC and the corresponding consumption or the actual consumption, whichever is lower, based on the meter reading at the user interface with the grid as provided by the concerned SLDC and the distribution licensee in whose area the user(s) are located.
iii.	The CGP and its captive user(s) is/are located in different States	CEA shall carry out the verification as per the methodology approved by MoP/Gol

**5.2 Verification of equity share holding criteria**

Verification criteria for various types of CGP shall be as follows

Sr. No.	Type of CGP	Criteria	Support Document
i	Single captive user	The user shall hold not less than 26% of the equity share	A certificate from the Company Secretary along with data/information & other

		capital having voting rights throughout the year	details as per formats attached with this procedure and other supporting documents.
ii	Partnership firm/ LLP	Ownership in the captive plant shall be with respect to not less than 26% proprietary interest and control over the generating station or power plant on annual basis.	A certificate from the Company Secretary along with data/information & other details as per formats attached with this procedure and other supporting documents.
iii	AoP	The captive user shall hold in aggregate not less than 26% of the ownership/ paid up equity share capital with voting rights throughout the year	A certificate from a registered Chartered Accountant along with data/information & other details as per formats attached with this procedure and other supporting documents.
iv	Cooperative Society	Members of society shall collectively satisfy not less than 26% of the ownership on annual basis.	A certificate from District Registrar of Cooperative Society along with data/information & other details as per formats attached with this procedure and other supporting documents.
v	SPV/ Company	The captive user(s) shall hold in aggregate not less than 26% of the proportionate paid up equity share capital with voting rights of the units identified for captive use (i.e. the proportionate of the Equity of the company related to	A certificate from a registered Chartered Accountant along with data/information & other details as per formats attached with this procedure and other supporting documents.



		<p>the generating unit or units identified as the CGP) throughout the year. The Captive generator shall identify the unit(s) intended for captive consumption at the time of furnishing documents at the time of seeking approval for captive wheeling/open access.</p>	
--	--	---	--

5.3 The distribution licensee shall ensure that Special Energy Meters (SEM) with real time communication facility with SLDC in accordance with CEA Metering Regulations, as amended from time to time read with CGP Regulations, 2022 are installed by CGP.

5.4 Till communication system with SLDC is operationalized, the monthly reading data at the Generator Transformer level, outgoing feeder level and that of auxiliary consumption shall be submitted by the captive generator or the captive user in hard and soft versions by 10th day of the next month in the office of SLDC Punjab and the concerned distribution licensee. Downloading of monthly data of all these meters shall be jointly undertaken by the Generator or captive user and concerned distribution licensee, wherever possible.

**6. Documents to be furnished at the end of financial year:**

At the end of the financial year, the CGP shall furnish the following documents for verification of CGP status:

- (A) Certificate from a Chartered Accountant or Cost and Management Accountant or Company Secretary (Practicing or Appointed) who are duly authorised by the Board of the Company / Firm /LLP / Co-operative Society

/ AoP/ SPV as the case may be, providing details of the ownership of the CGP with shareholding details (formats I to IV), as applicable;

(B) The consumption data as per formats V(A) and V(B)/V(C), as applicable, appended to this procedure. Format V(A) is for all CGPs whereas Format V(B)/V(C) is for all CGPs, as applicable, where test of proportional consumption shall be done at the end of the financial year.

(C) Copy of MoA/AoA, Partnership Deed / Agreement / Bye-laws / LLP Agreement, Trust Deed, Government orders(in case of Local Bodies, Government Departments) etc. as the case may be.

## **7. Verifying Authority:**

7.1 Verification of status of CGP and captive users with respect to the criteria of consumption and equity share holding, as prescribed under the Electricity Rules, 2005 shall be done annually by the Commission after the end of financial year upon submission of the report by the distribution licensee.

7.2 The CGP and the Captive User shall file the data/information on affidavit in specified format(s) with distribution licensee, with copy to the Commission, giving details regarding their electricity generation, entity-wise consumption and equity share holding etc. during the previous financial year before 30th April each year. SLDC shall also submit the data, as per this procedure, to the distribution licensee before 30<sup>th</sup> April.

7.3 The distribution licensee shall seek clarifications, if any, from CGP/Captive user(s) for the previous financial year by 10<sup>th</sup> May of next financial year.

7.4 The Distribution Licensee (in whose area the CGP/Captive User is located) shall carry out the necessary checks of the data/documents submitted for the verification of captive status of CGP or Captive Users based on the affidavit submitted by such CGP and captive users and submit its report to the Commission before 31<sup>st</sup> May with copy to the concerned CGP/captive user(s). In case the CGP/Captive user has any objection to the findings of the distribution licensee, the CGP/User may file his objections to the

Commission within 15 days of the receipt of the report from the distribution licensee.

Provided that in case where the CGP is located outside the State of Punjab then necessary certificate for verification of CGP status for the previous financial year from CEA shall be submitted by such CGP to the distribution licensee and the Commission before 31<sup>st</sup> May.

7.5 Failure to furnish data, documents for the purpose of verification of captive status within the time frame affixed in this procedure would empower the distribution licensee to submit report to the Commission regarding captive status of the generating plant as per the data or documents available with the licensee.

7.6 The Commission, on the basis of the report submitted by the distribution licensee and the objections on the findings of the distribution licensee submitted by the CGPs/captive user(s), shall adjudicate the matter. The matter shall be disposed of the Commission within 120 days.

## **8. Security Deposit and Consequence of failure to meet Captive user status**

8.1 The applicant/Captive user(s) shall deposit the security deposit in the form of an unconditional and irrevocable Bank Guarantee (BG) or Letter of credit (LC) or Fixed deposit (FD) for an amount equivalent to the estimated cross subsidy surcharge and additional surcharge, as may be decided by the State Commission, for 51% captive consumption for a year, to the concerned distribution licensee by 30<sup>th</sup> of April every year or before the date of commencement of supply, as applicable, having validity upto 31<sup>st</sup> July of the following year.

8.2 The BG/LC/FD should be renewed/revised to an amount equivalent to 51% of its estimated captive consumption for the entire year, atleast 30 days before expiry date of 31<sup>st</sup> July or after completion of captive verification by the Commission, whichever comes first. In case the Bank guarantee is not renewed/revised within the stipulated time then the existing BG/LC/FD shall

be encashed by the Distribution licensee towards its cross-subsidy surcharge and additional surcharge or any other applicable Open access charges.

Provided that there shall be no exemption from Cross-Subsidy Surcharge and Additional Surcharge on the electricity consumed by other non-captive consumers.

8.3 If the CGP or Captive User(s) fails to meet the criteria of ownership and/or consumption, specified in Rule 3 of Electricity Rule, 2005, as amended from time to time, read with this procedure for the financial year then such CGP or Captive User(s) shall lose its captive status for that year leading to imposition of Cross-Subsidy Surcharge, Additional Surcharge and other such charges as applicable on open access consumers.

The deposited Bank guarantee/LC/FD shall be encashed by the Distribution licensee(s) to cover the charges of Cross- Subsidy Surcharge and Additional Surcharge besides other charges as applicable on open access consumers.

## **9. Default in Payment:**

In case of default in payment of any charges or sum of money payable by a consumer availing open access, the same shall be dealt with in accordance with provisions of Regulation 36 of the PSERC (Terms & Conditions for Intra-state Open access) Regulations, 2011, as amended from time to time.

**FORMAT – I**

**[To be submitted by THE GENERATOR WHICH IS A COMPANY/CORPORATE BODY]**

**“CERTIFICATE ON “OWNERSHIP” AS PER RULE 3 OF ELECTRICITY RULES, 2005 FOR WHEELING UNDER CAPTIVE CATEGORY**

We hereby certify that the Equity Share Capital with voting rights of ----- (name of the company) having its registered office at-----satisfy the requirements under Rule 3 of the Electricity Rules 2005 for qualifying as a Captive Generation Plant with reference to “Ownership” criteria. The detailed breakup of the issued, subscribed and paid-up equity share capital, including percentage of holding in Equity Share Capital with voting rights of the Company have been tabulated below in Table A. Further, specific breakup of Equity Share Capital with voting rights held by captive user vis-a-vis other users has been tabulated below in Table B. There has been/not been change in shareholding of existing users/change in ownership. The details of ownership where change in ownership/changes in shareholdings took place are tabulated in Table C. The details of total installed capacity, aggregate capacity of units identified for captive use and its equity share capital to be held by captive users to satisfy the requirements under Rule 3 of the Electricity Rules 2005 for qualifying as a Captive Generation Plant is shown in Table D.

**i. Table A (Equity details)**

Equity Share Capital with Voting rights as on .....								
Sl. No.	Class of Equity shares	No. of Equity Shares	Paid Up Value per Equity Share	Amount of Paid Up Equity Share Capital	Per-centage holding in Paid Up Equity Share Capital	No. of Voting rights	Percentage holding in voting rights	Percentage holding in Paid Up Equity Share Capital with voting rights
Total								

**ii. Table B(Break up details)**

Break up of Captive user holding in Equity Share Capital with Voting rights as on .....								
Sl. No.	Class of share holder	No. of Equity Shares	Paid Up Value per Equity Share	Amount of Paid Up Equity Share Capital	Percentage holding in Equity Share Capital	No. of Voting rights	Percentage holding in voting rights	Percentage holding in Paid Up Equity Share Capital with voting rights
1	Captive User							
2	Others							
Total								

**iii. Table C(Change in ownership/shareholding)**

Break up of Captive user holding in Equity Share Capital with Voting rights as on .....										
Sl. No.	Name of Share holders / Captive Users	Class of share holder	No. of Equity Shares	Paid Up Value per Equity Share	Amount of Paid Up Equity Share Capital	Percentage holding in Equity Share Capital	No. of Voting rights	Percentage holding in voting rights	Percentage holding in Paid Up Equity Share Capital with voting rights	Date of change in shareholding/ incorporation of incoming & outgoing new owner
1		Captive User								
2		Others								
Total										

**iv. Table D: Applicable for SPV**

Verification of Equity share capital with voting rights proportionate to the units identified for Captive Use as per Electricity Rules.		
Total installed Capacity of the generating station (in MW)	A	
Of the Total installed Capacity, units and capacity identified for Captive Use (in MW)	B	
Proportion of Capacity identified for Captive use on the overall installed capacity (in %)	$C=(B/A)$	
Paid up Equity share capital with voting rights to be maintained by Captive consumers in the generating station (%)	$D=(26\%*c)$	
Actual paid up Equity shareholding with voting rights held by Captive consumers (%)	E	

Note: As the actual paid up equity shareholding with voting rights held by the captive consumers in (E) is not less than 26%( or proportionate, as the case may be) , the plant satisfies the Ownership criteria for CGP as required under Rule 3 read with Explanation of Electricity Rules 2005.

Signature of Practicing or  
Appointed Chartered  
Accountant/Cost& Management  
Accountant/ Company  
Secretary  
Name in Block letters  
Name of firm  
Membership No.  
UDIN No.(where applicable)

Place :

Date:

**FORMAT –II**

**[To be submitted by the Captive users (also the owners) who are Company/Corporate Body]**

**Auditor's Certificate**

I / We hereby certify that **Captive User Name**, a company incorporated under Companies Act 2013 (or under the erstwhile Act) and having its registered office at \_\_\_\_\_ is holding \_\_\_\_\_ number of Equity Shares of Rs. \_\_\_\_\_ each amounting to Rs. \_\_\_\_\_ as Equity Share Capital and with \_\_\_\_\_ voting rights per Equity Share in **Name of CGP** \_\_\_\_\_ which owns a Generating Plant with a Capacity \_\_\_\_\_.

Please find attached the following:

- Annexure
1. Copy of the latest audited balance sheet of the Captive User.
  2. Copy of the Share Certificate for the above.
  3. Copy of the SH-4 Certificate.

Signature of Practicing or Appointed Chartered  
Accountant/Cost& Management Accountant/  
Company Secretary  
Name in Block letters  
Name of firm  
Membership No.  
UDIN No.(where applicable)

Place :

Date:



**FORMAT-III**

**[To be submitted by THE GENERATOR WHICH IS A  
PARTNERSHIP FIRM / LLP]**

**CERTIFICATE ON 'OWNERSHIP' AS PER RULE 3 OF ELECTRICITY RULES, 2005  
FOR WHEELING UNDER CAPTIVE CATEGORY**

We hereby certify that M/s. \_\_\_\_\_, a Partnership Firm having its place of business at \_\_\_\_\_ satisfy the requirements under Rule 3 of Electricity Rules 2005 for qualifying as a Captive Generation Plant with reference to "Ownership" criteria as per the Partnership Deed dated \_\_\_\_\_. We confirm that the captive consumers are holding proprietary interests and control over the Captive Generation Plant.

The detailed breakup of the ownership of each partner in the Partnership Firm has been tabulated below in Table A. Further, specific breakup of the proprietary interest and control in relation to Captive Generation Plant held by captive user vis-à-vis other users has been tabulated below in Table B.

**TABLE A**

Ownership of the Captive Generation Plant of the Partnership Firm as on .....					
S.No	Name of the partner	Capital contribution	% of capital contribution	Whether Control Proportionate to Capital Contribution?	Remarks on control pattern*
	<b>TOTAL</b>				

\* Please provide remarks in the relevant column whether control is proportionate to the capital contribution.

Contd.

**TABLE B**

Ownership of the Captive Generation Plant of the Partnership Firm as on .....			
Type of Owner	% of proprietary interest and control in the Captive Generating Plant	Whether Control is Proportionate to Proprietary interest?	Remarks on control pattern*
Captive User			
Others			
<b>TOTAL</b>			

- \* Please provide remarks in the relevant column whether control is proportionate to the Proprietary interest, with specific reasons as to how the ownership threshold under Rule 3 of the Electricity Rules 2005 is being satisfied.

Signature of Practicing or Appointed Chartered  
Accountant/Cost & Management Accountant/  
Company Secretary  
Name in Block letters  
Name of firm  
Membership No.  
UDIN No.(where applicable)

Place :

Date:

**FORMAT-IV**

**[To be submitted by the Captive users (also owners) of the Partnership Firm/LLP]**

Auditor's Certificate

I hereby certify that **Captive User Name** and having its registered office at \_\_\_\_\_ is a partner/member with capital contribution of Rs. \_\_\_\_\_ with controlling interest of \_\_\_\_\_ percentage in **Captive Power Generator Firm Name** which owns a Generating Plant with Capacity \_\_\_\_\_ as on date.

Please find attached the following:

- Annexure
1. Copy of the latest audited balance sheet of the Captive User.
  2. Copy of the latest partnership deed.

Signature of Practicing or Appointed Chartered  
Accountant/Cost & Management Accountant/  
Company Secretary  
Name in Block letters  
Name of firm  
Membership No.  
UDIN No.(where applicable)

Place :

Date:

**FORMAT V (A) (For all type of generators)**

<b>Sl.No.</b>	<b>Particulars</b>	<b>Energy in Units</b>
1	Total Generated units of a generating plant / Station identified for captive use	-
2	Less : Auxiliary Consumption in the above in units, as applicable	-
3.	Less: Banking charges, where applicable	
4.	Less: Transmission & Wheeling Charges (in kind) where applicable	
5.	Net units available for captive consumption (Aggregate generation for captive use)	-
6.	51% of aggregate generation available for captive consumption in units (Sl.No.5 x 51%)	-
7.	Actual Adjusted units by the captive users	-
8.	Actual Adjusted units grossed up with T&D losses	
9.	Percentage of actual adjusted/grossed up units by the captive users with respect to aggregate generation for captive use (Sl.No.8 divided by Sl.No.5)	-

If Sl.No.9 is not less than 51%, then go to FORMAT V (B)/V(C), as the case may be, for further detailed verification of proportional consumption.

Signature

Name:

(Authorised signatory of CGP)

**FORMAT V(B) (For Non-RE CGP)**

Sr. No.	Name of share holder	No. of equity shares of value Rs.		% to be consumed on pro rata basis by each captive user	100% annual generation in units	Annual Auxiliary consumption in units	Generation considered to verify consumption criteria in	Permitted consumption as per norms in units (where applicable)			Actual consumption in units	Consumption grossed up with T&D losses in units	Whether consumption norms met
		As per share certificate as on 31st March	% of ownership through shares in Company/unit of CGP					With 0% variation =h*e	-10% =90% of i	10% =110% of i			
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)
								Signature					
								Name					
								(Authorised signatory of CGP)					

**FORMAT V(C) (For RE based CGP)**

Sr. No.	Name of shareholder	No. of equity shares of value Rs.		% to be consumed on pro rata basis by each captive user	100% annual generation in units	Annual Auxiliary consumption in units	Transmission & wheeling charges (in kind)	Banking charges in kind for RE based CGP	Generation considered to verify consumption criteria in units	Permitted consumption as per norms in units (where applicable)			Actual consumption in units	Consumption grossed up with T&D losses in units	Whether consumption norms met
		As per share certificate as on 31st March	% of ownership through shares in Company/unit of CGP							with 0% variation = j * e	-10% = 90% of k	10% = 110% of k			
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)
										Signature					
										Name					
										(Authorised signatory of CGP)					